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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. 10/722,355
Filing Date November 25, 2003
Inventorship Randy D. Sines, et al.
Group Art Unit 3711
Examiner Not yet assigned
Attorney's Docket No. FL12-054
Title: Automated System for Playing Live Casino Table Games Having Tabletop
Changeable Playing Card Displays and Play Monitoring Security Features

INFORMATION DISCLOSURE STATEMENT

This document is being submitted in an effort to comply with the duty of disclosure defined in the Code of Federal Regulations, specifically 37 CFR §1.56. The duty of disclosure imposed by the Patent Office extends to inventors, the applicant's attorneys, and others associated with the filing and prosecution of the patent application. It requires that all information known to such individuals which is material to the patentability of this invention be disclosed to the Patent Office.

The information being submitted is listed on the attached form PTO-1449. The listing includes patentee, patent number, and issue date. Foreign documents are identified by country, document number, and publication date. Magazine articles and other documents are identified by author, title, page no., date and place of publication. The Examiner is requested to make these citations of official record in this application. Copies are enclosed unless otherwise noted. Available translations of any foreign documents are submitted in compliance with 37 CFR §1.98(c).

The submission of this material is not an admission that the information is in fact prior art properly assertible against the application. The applicant(s) specifically reserve the right to question or prove that this information is not properly asserted against one or more claims of this application or claims submitted in a derivative application.

This submission is being made pursuant to the following provision(s):

1. ☐ 37 CFR §1.97(b)(1-2) - No fee is due because it is being submitted within three (3) months of the filing date or national stage entry date.
2. ☐ 37 CFR §1.97(b)(3) - No fee is due because it is being submitted before the mailing date of the first office action on the merits.
3. ☐ 37 CFR §1.97(c) - A fee is due because it is being submitted without a certification after the mailing date of the first office action on the merits and after 3 months from the filing date.
4. ☐ 37 CFR §1.97(c) & (e) - This submission is before the mailing of a final action and before mailing of a notice of allowance. No fee is due because it is being submitted with a certification as provided below.
5. ☐ 37 CFR §1.97(d) & (e) - This submission is being made before payment of the issue fee and after the mailing of a final action or after mailing of a notice of allowance. Also enclosed are a petition and the fee is either enclosed or should be withdrawn from the deposit account indicated in the transmittal letter.

6. ☒ 37 CFR §1.98(d) - Copies of some or all of the references are not being submitted because they were submitted in prior application Serial No. 10/196,767 upon which priority is claimed. Copies of any references not excepted under this provision are enclosed.
7. ☐ 37 CFR §1.97(a)(3) - An explanation of the relevance of the references is not provided except unless this box is checked. If checked, then a concise explanation of the relevance is provided in connection with each item which is not in the English language.
8. ☐ Some or all of the references are incomplete. Applicant has submitted everything in possession.

Date: July 8, 2004

By: Randy A. Gregory
Randy A. Gregory
Reg. No. 30,386

Certification Under 37 CFR §1.97(e) (if applicable) -

The undersigned hereby certifies that either: each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement; or, no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of the statement.

Date: _____

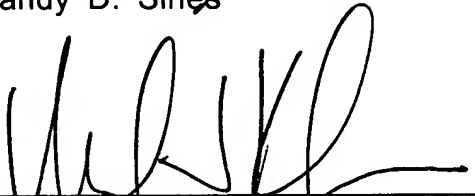
By: _____
Randy A. Gregory
Reg. No. 30,386

Signatures of Inventors

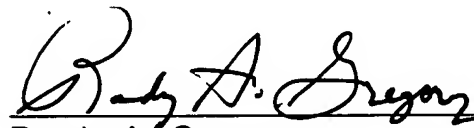
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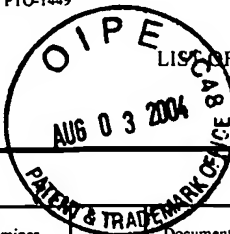
By: 
Name: Randy D. Sines

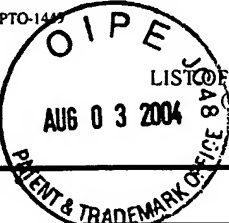
Date: 7/20/04

By: 
Name: Michael J. Kuhn

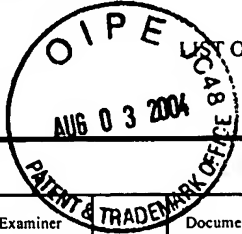
Date: July 8, 2004

By: 
Name: Randy A. Gregory

Form PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. FL12-054	SERIAL NO. 10/722,355			
 <p>LIST OF ART CITED BY APPLICANT (Use several sheets if necessary)</p>				APPLICANT Digideal Corporation				
				FILING DATE November 25, 2003	GROUP 3711			
U.S. PATENT DOCUMENTS								
*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate		
	AA	3,796,433	03/1974	Fraley, et al.				
	AB	4,072,310	02/1978	Beam				
	AC	4,294,451	10/1981	Wollner				
	AD	4,380,334	04/1983	Minkoff, et al.				
	AE	4,614,342	09/1986	Takashima				
	AF	4,760,527	07/1988	Sidley				
	AG	4,813,675	03/1989	Greenwood				
	AH	4,926,327	05/1990	Sidley				
	AI	5,022,653	06/1991	Suttle, et al.				
	AJ	5,033,744	07/1991	Bridgeman, et al.				
	AK	5,046,736	09/1991	Bridgeman, et al.				
FOREIGN PATENT DOCUMENTS								
		Document Number	Date	Country	Class	Subclass	Translation	
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	AL	2117546A	10/1983	GB				
	AM							
	AN							
	AO							
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)								
	AP							
	AQ							
	AR							
	AS							
EXAMINER				DATE CONSIDERED				
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>								

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				FILING DATE November 25, 2003			
U.S. PATENT DOCUMENTS							
*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
AA	5,098,107	03/1992	Boylan, et al.				
AB	5,141,234	08/1992	Boylan, et al.				
AC	5,159,549	10/1992	Hallman, Jr., et al.				
AD	5,224,706	07/1993	Bridgeman, et al.				
AE	5,242,163	09/1993	Fulton				
AF	5,255,915	10/1993	Miller				
AG	5,332,219	07/1994	Marnell II, et al.				
AH	5,377,973	01/1995	Jones, et al.				
AI	5,377,993	01/1995	Josephs				
AJ	5,393,057	02/1995	Marnell II				
AK	5,407,199	04/1995	Gumina				
FOREIGN PATENT DOCUMENTS							
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AN							
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APPLICANT Digideal Corporation				FILING DATE November 25, 2003		GROUP 3711	
U.S. PATENT DOCUMENTS							
*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	AA	5,423,551	06/1995	Stavinsky			
	AB	5,511,781	04/1996	Wood, et al.			
	AC	5,531,440	07/1996	Dabrowski, et al.			
	AD	5,531,448	07/1996	Moody			
	AE	5,586,936	12/1996	Bennett, et al.			
	AF	5,630,586	05/1997	Lowden			
	AG	5,636,843	06/1997	Roberts			
	AH	5,655,966	08/1997	Werdin			
	AI	5,669,817	09/1997	Tarantino			
	AJ	5,687,968	11/1997	Tarantino			
	AK	5,743,800	04/1998	Huard, et al.			
FOREIGN PATENT DOCUMENTS							
	Document Number	Date	Country	Class	Subclass	Translation	
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OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)							
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Form PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. FL12-054		SERIAL NO. 10722.355	
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				APPLICANT Digideal Corporation		FILING DATE November 25, 2003	
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*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	AA	5,770,533	06/1998	Franchi			
	AB	5,779,546	07/1998	Meissner, et al.			
	AC	5,788,574	08/1998	Ornstein, et al.			
	AD	5,803,808	09/1998	Strisower			
	AE	5,831,527	11/1998	Jones II, et al.			
	AF	5,839,960	11/1998	Parra, et al.			
	AG	5,845,907	12/1998	Wells			
	AH	5,868,392	02/1999	Kraft			
	AI	5,879,233	03/1999	Stupero			
	AJ	5,909,876	06/1999	Brown			
	AK	5,919,090	07/1999	Mothwurf			
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subclass	Translation
							Yes No
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*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	AA	5,944,315	08/1999	Mostashari				
	AB	5,944,316	08/1999	Hernandez				
	AC	5,951,397	09/1999	Dickinson				
	AD	5,975,529	11/1999	deKeller				
	AE	6,010,404	01/2000	Walker, et al.				
	AF	6,093,101	07/2000	Mourad				
	AG	6,099,408	08/2000	Schneier, et al.				
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FOREIGN PATENT DOCUMENTS								
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INVENTORSHIP Randy D. Sines, et al.
GROUP ART UNIT 3711
EXAMINER Not yet assigned
ATTORNEY'S DOCKET NO. FL12-054
TITLE: Automated System for Playing Live Casino Table Games Having Tabletop Changeable
Playing Card Displays and Play Monitoring Security Features

TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

To: Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

From: Randy A. Gregory
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Telefax 509/245-3833

Enclosed are:

1. PTO Return Postcard Receipt
2. Transmittal Letter and Certificate of Mailing
3. Information Disclosure Statement with PTO Form 1449

☒ Small Entity Status is claimed.

☐ Large Entity Status Applies.

Authorization Re: Deposit Account: The undersigned hereby authorizes the Patent and Trademark Office to charge Deposit Account 502881 for any fees or to credit any overpayments in connection with this application and the papers being filed herewith.

Date:

July 30, 2004

By:

Respectfully submitted,

Randy A. Gregory
Randy A. Gregory
Reg. No. 30,386
Attorney/Agent for Applicant

CERTIFICATE OF MAILING

I hereby certify the items listed above as enclosed are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Mail Stop Missing Parts, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below-indicated date.

Dated:

7/30/04

Signature:

Name:

Jane E. Boone
Jane E. Boone